

## CIVIS3i

Marie Sklodowska-Curie Actions COFUND

**Postdoctoral Fellowships** 

Call 2022

Guide for applicants

July 2022 – v.2



This project received funding from the European Union's Horizon 2020 Research and Innovation Programme under grant agreement N°101034324



Candidates are invited to regularly check the CIVIS3i website for any updates of the guides and templates.



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## **1. INTRODUCTION**

#### 1.1. CIVIS3i IN BRIEF

The CIVIS3i Postdoctoral Fellowships is a comprehensive fellowship programme providing excellent experienced researchers the opportunity to conduct frontier research and receive diversified training in an environment of scientific excellence and state-of-the-art facilities, under the supervision of renowned academics and with the support of an extensive collaboration network of academic and non-academic institutions. CIVIS3i is funded in part by four universities (Aix Marseille University - AMU, Université libre de Bruxelles - ULB, Sapienza Universitat di Roma – SUR, Universidad Autonoma de Madrid - UAM) of the CIVIS Alliance and in part by the European Commission through the H2020 Marie Skłodowska-Curie Actions COFUND Programme.

Candidates may choose to be hosted and develop their research at one of the <u>CIVIS3i four</u> recruiting universities (AMU, ULB, SUR, UAM), where their main advisor must be affiliated, and mobility within the whole CIVIS alliance (the ten universities + 24 non-academic partners) will be facilitated. Candidates are required to included elements of intersectoral mobility and interdisciplinarity in their application, such as a 3-month secondment and short visits in one of the academic or nonacademic partners, and a co-supervision at one of the 10 CIVIS Universities (For more information see the <u>CIVIS website</u>). Secondment provisions and co-supervision should be included at the proposal stage if possible (recommended); however, if such elements are not fully defined in the proposal, the laureates will be accompanied on these dimensions once selected. Fellows are expected to participate in outreach activities related to their research. The competitive fellowships are designed to further the education and training of the fellows with primary emphasis placed on the individual's research promise, and to boost their future career inside or outside academia.

CIVIS3i proposes two calls within a five-year programme, the first in 2021 and the second in 2022. To impact the CIVIS3i Alliance post-doctoral international mobility as widely as possible, **the calls are open to all fields of research**, organised into the <u>5 hubs of CIVIS</u> and linked to global societal challenges. The hubs integrate multiple topics, giving the fellows the freedom to conduct research on a wide range of subjects, for instance:

- In **Health**: Nutrition and food; Ageing; Well-being; Epidemiology; Immunology; Public Health; Medical Studies; Neurosciences; Pharmacology; Sport and movement science

- In **Climate**, **Environment**, **Energy**: Geology; Oceanography; Biodiversity; Migrations and settlements; Sustainable energy; Climatology; Pollution; Astronomy.

- In **Digital and Technological Transformation**: Artificial Intelligence; New Media; Technologies & Engineering; Processes; Music; Learning; Sciences; Digitalization.

- In **Society, Culture and Heritage**: History; Literature; Sociology; Archaeology; Arts; Migrations; Religions; Philosophy; Political science; Languages.

- In **Cities, Territories and Mobilities**: Smart cities; Urbanism; Geography; Architecture; Transports; Logistics; Migrations; Governance.





Candidates must contact their potential advisor before submitting their application in order to discuss the research project and build an outstanding proposal. For each call, CIVIS3i will fund 16 excellent researchers for a research project with a duration of 24-months, reaching a total of 32 fellowship positions over five years. The fellowships are offered by the four recruiting CIVIS3i universities, and are distributed as indicated in the table below:

| University                           | University<br>acronym | Country | Number of<br>fellowships offered<br>per Call | Number of fellowships<br>offered over entire<br>CIVIS3i Programme |
|--------------------------------------|-----------------------|---------|--|---|
| University of Aix<br>Marseille       | AMU                   | France  | 5  | 10  |
| Université libre de<br>Bruxelles     | ULB                   | Belgium | 4  | 8   |
| Universidad<br>Autónoma de<br>Madrid | UAM                   | Spain   | 4  | 8   |
| Sapienza<br>Universitat di Roma      | SUR                   | Italy   | 3  | 6   |
| Totals                               |                       |         | 16   | 32  |

#### **1.2. SECOND CALL TIMELINE**

The timeline of the Second Call of CIVIS3i is the following:

Call opening: Wednesday, July 27th, 2022 at 14:00 Paris time

Call closing: Thursday, October 27th 2022 at 17:00 Paris time (UTC + 2)

Eligibility check: July 27th – November 2022

Evaluation: November 2022 – February 2023

Information to applicants: February 2023

Start of projects: Between February (earliest) and July 2023 (latest)

Fellowship duration: 24 months

Any change in this expected timeline will be announced in the CIVIS3i website.



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## 2. ELIGIBILITY

Applicants of any age and of any nationality are eligible.

Eligible applicants are experienced researchers:

- > Holder of a doctoral degree at the date of the Call deadline, 27 October 2022;
- Applicants without a doctoral degree by the Call deadline, provided they have documented full-time equivalent research experience of minimum 4 years prior to 27 October 2022 (see table below);
- Candidates cannot have resided or carried out their main activity (work, studies, etc.) in the recruiting country (France for AMU, Belgium for ULB, Italy for SUR or Spain for UAM) for more than 12 months in the three years immediately before the Call deadline, 27 October 2022 (i.e., between 27 October 2019 and the Call deadline);
- Candidates must choose a host research group in one of the four CIVIS3i recruiting universities: Aix Marseille University, Université libre de Bruxelles, Sapienza Universitat di Roma, Universidad Autonoma de Madrid (see table on section 4.).

As a condition of the fellowship, candidates must be able to present their diploma, a certified copy of their diploma, or proof of minimum four years of full-time equivalent research by the call deadline (work contracts, employer certificate, scholarships, certificates, a career description), and optionally a certified letter from the appropriate university or research institute official stating that they have completed all of the requirements for their degree including the completion of their final thesis, before they begin their fellowship appointment. In addition, research experience is calculated, adding to their application the table below (template available on the CIVIS3i website). This information and documents do not count to the 5-page limit indicated for the CV.

Full-time equivalent research experience is measured from the date when a researcher obtained the degree allowing him or her to embark on a doctorate. This needs to be proven by the employment (or equivalent) contracts. Career breaks are excluded. Career breaks refer to periods of time where the candidate was not active in research, regardless of his/her employment status. The rule is checked by reference to the employment status of the candidate in the domain of research.





**Table of Full-time equivalent research experience –** Please do not indicate periods before the University degree giving access to PhD or after the call deadline. In case of overlapping periods when several activities are carried out in parallel, applicants should only indicate a cumulative percentage up to 100% (e.g. 50% Doctorate + 50 % research assistant). Add as many entries as needed. This table is beyond the 5-page limit for the CV. Only cells in grey filling are to be filled by the candidate.

| posigraduale research experience  |                                     |   |  |
|---|-------------------------------------|---|--|
| University degree<br>giving access to   | Institution<br>name and<br>country  | Date of<br>award (a)  | Type of<br>awarded<br>degree   |
| PhD:  |                                     | DD/MM/YYYY  | [free text]  |
|   | Institution<br>name and<br>country  | From  | То   |
| Other university  |                                     | DD/MM/YYYY  | DD/MM/YYYY   |
| degree(s)/master(s),<br>if any, obtained<br>after the award of<br>the university<br>degree giving<br>access to PhD: | Full time<br>research<br>experience | Proportion of<br>research<br>activities as a<br>percentage<br>of the<br>duration of<br>the Master | Duration of<br>research<br>activities<br>expressed in<br>months        |
|   |                                     | XX %  | (b) = xx% *<br>duration of<br>Master                                   |
|   | Institution<br>name and<br>country  | From  | To (Date of<br>expected<br>Award)                                      |
|   |                                     | DD/MM/YYYY  | DD/MM/YYYY   |
| Doctorate:  | Full time research<br>experience    |   | Duration of<br>research<br>activities<br>expressed in<br>months<br>(c) |

#### Academic qualifications counting towards the Total Full time postgraduate research experience

#### Other research activities counting towards the total full-time postgraduate research experience

| Position:   | Institution<br>name and<br>country | From       | То   |
|---|------------------------------------|------------|--|
|   |                                    | DD/MM/YYYY | DD/MM/YYYY   |
|   | Full time research<br>experience   |            | Duration of<br>research<br>activities<br>expressed in<br>months<br>(d) |
| Total full-time postgraduate research experience:<br>number of months |                                    |            | =<br>(b)+(c)+(d)   |





Projects that do not comply with the compulsory layout and 10-page limit, or that do not include all of the administrative documents requested will be declared ineligible (see 3.1 Requested documents). Submitted proposals not written in English will not be considered for evaluation and will be declared ineligible.

Candidates who do not pass the eligibility check will be informed by email and the reason(s) for rejection will be concisely stated. Candidates whose applications have been deemed ineligible will have an opportunity to submit a request for redress. The CIVIS3i Redress Procedure Following the Decision of the Selection Committee can be found below on section 8.2 Redress Procedure.

## **3. SUBMISSION**

Applications are submitted online in the dedicated platform that is accessible through the <u>CIVIS3i</u> <u>website</u>, via the APPLY button. Applications sent via other means (e-mail, post, etc.) will not be considered. It is the responsibility of the applicant to submit the application on time in order to avoid last minute delays due to the high number of submissions. The submission platform will be automatically deactivated at precisely 17:00 (CET) on Thursday, October 27, 2022 and will not be able to accept late submissions. No extension will be granted unless there is an unequivocal technical issue with the submission platform, in which case all applicants will be notified accordingly.

#### **3.1. REQUESTED DOCUMENTS**

The application file of the applicants is complete when <u>all</u> the following documents are submitted in pdf format:

- An application form to be filled online on the CIVIS3i submission platform (follow the <u>Apply</u> button on the CIVIS3i website), with general information related to the candidate, title of the research project, abstract, keywords, scientific area of the proposal, targeted recruiting university, targeted main laboratory, chosen advisor, advisor's email, co-host university and co-advisor, secondment, etc).
- A copy of the PhD degree or a certificate confirming that all the requirements related to the PhD programme in the home institution are fulfilled prior to the call deadline, 27 October 2022.
- If no PhD degree has been obtained, a career description should be provided proving at least four years of full-time research experience by the call deadline. Applicants should attach proofs (e.g. contract, employer certificate) demonstrating their research experience. The career description must include also the table of full-time equivalent research experience. A <u>template</u> is available at the call webpage.
- A CV of 5 pages, including a publication list. The CV format is free but applicants may opt to use the <u>template</u> available at the call webpage or the <u>Europass website</u> if they wish to.
- A research and training project of maximum 10 pages describing the state-of-the-art, the research questions, the methodology, the training programme in an academic and, if relevant, non-academic environment, the research environment (including the partner laboratory within another CIVIS3i university, if already identified), the expected impact of the project on the candidate's career, the expected impact on the state of the art of research, the impact for society, the dissemination, exploitation and communication activities planned, and a work plan. A compulsory template is available at the CIVIS3i website. Applicants are required to read carefully and comply with the layout instructions at the top of the template (font, font size, margins, line spacing included). Projects exceeding the 10-





page limit will be declared ineligible.

- An ethics issues form and self-assessment with additional ethics information if needed to be filled on the online system.
- A copy of the passport (for candidates from outside of the EU) or identity card (for EU nationals).
- A compulsory letter of support or acceptance from a CIVIS3i academic member (host institute, advisors) confirming that the applicant, if successful, will be integrated in the research group and receive the appropriate training. Applicants are invited to consult the CIVIS3i <u>Research Inventory</u> at the website section "Find an advisor" and to search the recruiting <u>university's individual research departments</u> websites to identify suitable advisors and co-advisors (see the external links provided for each university and the table on section 4. How to Identify Advisors?).
- > Other optional documents, such as recommendation letters, permits for the study, for sampling, ethics permits, language certificates if relevant.

Documents that are not in English should be translated. Candidates may create one single pdf of the original document and merge a certified translation at the end or submit the documents separately (original and translation). Applicants are encouraged to choose an advisor with a research experience superior to theirs, and with prior experience in supervising researchers at the early-stage researcher level minimum. Advisors must hold a permanent scientific or academic full-time position at the chosen recruiting university.

Apart from the above documents to be uploaded, the applicant is requested to complete the following actions through the online form on the submission platform:

- > Indicate their current or last University (whatever is applicable);
- > Indicate their gender, age and nationality;
- > Indicate the year and the University where they obtained their PhD;
- Indicate the name of their PhD supervisor(s) or the leader of the research group in which the candidate has performed research in case no PhD has been obtained;
- > Indicate any conflict of interest (maximum list of 5 people to exclude as potential reviewers);
- Indicate 3-5 suggested reviewers;
- Indicate a link to their preferred online scientific profile (e.g., Google citations; Research Gate, Publons, ORCID, ...);
- > Indicate the CIVIS Hub(s) for which their proposal contributes;
- Indicate the project's acronym;
- > Fill in the abstract of the proposal (max 350 words);
- > Indicate if the project is interdisciplinary;
- Select the scientific area(s) of the proposal: multiple choices and free text for more specific key words not available on the system.

In addition to the above, applications must fulfil the following requirements:

- > Applications must be written in English;
- > All sections of the application form must be complete, within the page limit;
- > It is mandatory to keep the application form template (do not supress the headers, etc);
- > Applications must be submitted through the online submission system (see section 3.2.);
- > Applications must be received before the Call deadline (see Timeline on section 1.2.);
- Any ethical issues must be mentioned and specified in the self-assessment ethic evaluation form. Do not forget to justify the issues raised by your self-assessment.

GUIDE FOR



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#### **3.2. SUBMISSION PROCESS**

Applicants first have to register themselves through the submission website, hereby creating their personal account (use the <u>APPLY</u> button on the CIVIS3i website). They are then able to log in and proceed with the application and submission. There are three main types of information to be provided: Applicant information; Project information; and Additional information (optional). Submissions will be accepted only if all requested documents are uploaded and all necessary fields are filled-in. The names of the files must contain the application reference number (given by the system upon creating your personal account), the name of the candidate and the type of document (e.g. "ApplicationINumber\_Surname\_curricul, "ApplicationINumber\_Surname\_proposal", for example "881\_Silva\_curricul", "953\_Smith\_proposal"). Applications can be saved on the system to be edited later. Candidates will be able to submit their application only once. An automatic confirmation of submission will be sent to the applicant after submission (please add noreply@smapply.mail.net to your trusted contacts to avoid having CIVIS3i automatic emails sent to SPAM). It is not allowed to submit more than one project proposal.



All documents must be named as follows:

"ApplicationNumber\_ApplicantSurname\_TypeOfDocument"



Do not modify the template of the form for the submission and keep the formatting. Applications without the requested template will not be considered for evaluation

## 4. HOW TO IDENTIFY ADVISORS?

The best way to obtain more information about ongoing research within the CIVIS3i Alliance is via the database found on the CIVIS3i research inventory (<u>Find an advisor</u> page) of the CIVIS3i website and by visiting the individual Department, Centre and Institute web pages listed below. For any queries or help finding a host and/or advisor, please contact the project manager, listed below on section 11. Contact Information.

| University | Link to Research Departments and Research Centers   |
|------------|---|
| AMU        | https://www.univ-amu.fr/en/public/research-units  |
| ULB        | https://www.ulb.be/en/research/institutes-resources-and-<br>contacts  |
| SUR        | https://www.uniroma1.it/en/pagina/departments<br>https://www.uniroma1.it/en/pagina/research-centres-italian |
| UAM        | https://www.uam.es/uam/investigacion/centros-institutos   |





It is expected that candidates will have a command of the English language, although the CIVIS3i management team will be able to assist specific issues in other languages, on a case-by-case basis (Portuguese, Spanish, French, Italian - see contact details). Applications are done in English. Candidate selection will follow an open, transparent, merit-based, impartial and equitable procedure based on international peer-review. After evaluation of the research proposals, 32 candidates will be shortlisted for interviews (also in English) with the Selection Committee via videoconference. Final results will be communicated to the candidates in February 2023 and available on the CIVIS3i website. Laureates will have one-week to accept or decline the fellowship.

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## 5. ADVISORY TEAM AND THE ROLE OF CIVIS3i POSTDOCTORAL ADVISORS

Each recruited CIVIS3i fellow will be followed by an advisory team of three people:

- the main advisor, affiliated to the main host institute in one of the four CIVIS3i recruiting universities;
- the co-advisor, affiliated to any of the ten CIVIS universities, excluding the recruiting university chosen to host the fellow;
- and the non-academic mentor, for secondment(s).

The triple supervision/mentoring in CIVIS3i will have a research dimension but also a training and career support dimension.

<u>The main academic advisor</u> will facilitate the proper integration of the fellow in the local research environment and will support the fellow in achieving the planned scientific and training goals. To ensure that the fellowship will have a significant impact on the fellow's future career prospects, the advisor and the fellow will establish a yearly Career Development Plan that will summarise the fellow's individual training programme (research and transferable skills trainings) and career prospects beyond the project. The main advisor will work closely with the co-advisor and the mentor to ensure a coherent supervision and guidance, especially on training and career development. A follow-up report will be addressed every six months to the CIVIS3i management team by the advisor and the fellow to guarantee a good progress of the individual project.

<u>The role of the CIVIS3i co-advisor</u> is to ensure the international and interdisciplinary dimensions of the project are met. The co-advisor will be a researcher with complementary knowledge and expertise that will support the CIVIS3i fellow in addressing the interdisciplinary dimension of the research project and will guide the fellow to take full advantage of the cross-fertilisation of disciplines, methodologies and techniques. Interdisciplinarity collaboration can be implemented through virtual means, research visits. The number and duration of research visits to the co-host is to be defined in accordance to the project's objectives. The co-host organization and the co-advisor must be different from the secondment organization and mentor. The co-host must be chosen among the CIVIS Alliance's 10 Universities.

<u>The non-academic mentor</u> will be appointed by the non-academic partner to support the fellow and ensure intersectoral collaboration. A mentor will support, give advice and guidance, bring in their professional network. The mentor will play a significant role in the career prospects of the fellow by promoting access and integration into the socio-economic sector, advising the fellow on training needs that are coherent with the industrial needs, share their professional experience, their network and give advice on pursuing career goals and developing an attractive professional profile. The





mentor will be selected by the candidate in collaboration with the main advisor. The mentor should preferably have a different scientific background from the candidate. The secondment organization can be selected from the list of 24 non-academic CIVIS3i partners or outside of this list. See more on section 6. Secondments.

It is recommended to have a mentoring scheme consisting of at least three interactions per year (e.g., phone call, video conferences, emails) and one face-to-face meeting per year if possible. The fellow mentee should perform a summary report after each interaction, validated by the mentor. This recommended mentoring scheme should be adapted to the objectives of each project.

#### 5.1. Tasks and deadlines for CIVIS3i advisors

CIVIS3i is an H2020 project, so there are certain commitments to the European Commission that CIVIS3i fellows will have to follow, and some of them will need the contribution of the supervisors.

For instance, a final report will be expected about the actual work conducted, explaining any deviations from the planned work, which needs to be approved by the supervisor.

Supervisors will have to take part in the Career Development Plan of the fellow, which is expected to be refined during the first three months of the fellowship and must be revised every 6 months during the fellowship; there will be a mandatory "supervision day" organised by the CIVIS3i management team to allow supervisors to be trained for the coaching in career development. The CIVIS3i Career Advisor and the Local Career Advisors at each of the partner universities will assist in the Career Development tasks. Supervisors may help the CIVIS3i fellows to identify relevant training courses and events, to develop the fellows' skills and network, beyond their discipline and sector. Supervisors will also be responsible for identifying together with the candidate a training plan in interdisciplinary and intersectoral research, through generic training at their universities or CIVIS3i, as well as through events more specifically related to the research project and its interdisciplinary theme.

Supervisors will have to validate the fellows' progress reports to be sent yearly to the CIVIS3i management team. Such progress reports must follow certain criteria to meet the commitments with the EC, such as reporting the progress of the research relatively to the work plan and any deviations and mitigation actions, dissemination activities and outputs (research publications, conferences, outreach activities, etc.), reporting their Data Management Plans and usage of Open Access to Research Data (the CIVIS3i management team will assist supervisors and fellows in that task), report on the training and career development, and any ethics issues relevant to the funded fellow's research.

## 6. SECONDMENTS

Secondments are research or training visits to another academic or non-academic organization offering expertise that is not existing in the host group. Secondments can be included to support the intersectoral and interdisciplinary dimensions of the research project and the fellow's career development. A secondment must be meaningful, justified and helpful to achieve the objectives of the project.

Intersectoral exposure can be implemented in the project, for instance, by including dialogue with socio-economic actors, participating in industry networking events contributing to expand the fellow's career prospects, etc. CIVIS3i candidates can chose a non-academic partner from outside





the <u>list</u> provided on the programme's website. Secondments can support the research project, the training programme (i.e., career development) or the dissemination activities (e.g., outreach).

The organization of secondment must be described in detail. There is no geographical restriction, but CIVIS3i has a network of 24 partners already committed to receiving CIVIS3i fellows and to give them full support and mentorship. Candidates may choose a secondment organization not in the CIVIS3i network.

At the application stage acceptance letters from a secondment institution are not required, but may optionally be included. Please note, however, you must describe your secondment's plan in your application, if already defined (highly recommended).

Secondments are required in CIVIS3i fellowships and must be 3 months in total; the secondment can be divided in two or more short periods during the 2-year fellowship or taken at once in one single 3-month long period.

## 7. EVALUATION

The evaluation of the application file consists of an eligibility check, a remote expert evaluation (double peer-revision), and an interview with the Selection Committee. There will be substantial involvement, at all stages of the evaluation and selection process, of independent international expert evaluators.

#### 7.1. ELIGIBILITY CHECK

During the 3 months of the Call and immediately after the deadline, the CIVIS3i's Project Manager at AMU's Directorate for Research and Development will examine the eligibility of the candidate under the eligibility criteria of section 2. <u>Only non-eligible candidates will be notified at the end of this stage.</u>

#### 7.2. EVALUATION CRITERIA

The three main evaluation criteria are **Excellence**, **Impact** and **Implementation** with a respective weight of 50, 30 and 20% in the final score. The sub-criteria corresponding to each criterion are described in Annex 1. A maximum of 32 candidates will be shortlisted for interview with the Selection Committee. However, in case of ex-aequo (equal scores; see table in section 7.3.), it is possible that more than 32 applicants may need to be interviewed.

#### 7.3. DOUBLE PEER-REVISION BY REMOTE EXPERT EVALUATION

Each application will be sent to two (2) independent external referees specialised in the scientific domain of the proposal. The selection of the experts is based on the following criteria:

- Adequacy of the disciplinary and thematic fields of the experts identified with the topic and two main disciplines of the submitted projects;
- Relevance of the expert's profile in regard to the programme's objectives and the nature of the submitted projects (participation in interdisciplinary and intersectoral research, participation in international project selection committees...);





- Scientific renown, assessed through number of citations greater than 500 for hard sciences (Web of Science), academic career superior to that of the candidate's, editorial responsibilities and awards.
- Active publication track-record in the specific field with several peer reviewed publications over the last ten years.
- Confirmation that the experts have (i) never co-published with the candidate (ii) have not co-published neither with the candidate's PhD advisor (or the leader of the research group in which the candidate has performed research in case no PhD has been obtained) nor with the CIVIS3i main advisor in the five years prior to the evaluation process.

The experts will not be affiliated to the current institution of the candidate or to any of the institutions targeted by the candidate in their research project, the experts will be from other countries and they will sign a declaration of confidentiality, impartiality and absence of any conflict of interest with the project to be evaluated. The CIVIS3i management team will pay attention to reach a gender balance in the experts solicited for double-peer revision of the projects.

The external experts will receive the complete application file together with an evaluation sheet (Annex 1) and the guide for evaluators. They will also receive a briefing on ethics guidelines to help them assess whether ethical implications of the projects have been properly addressed. They will be asked to provide scores for the evaluation criteria, as well as sufficiently detailed comments (Annex 1). The experts will evaluate the proposal "as is", i.e. not considering its potential if a series of modifications were made. Scoring corresponds to the following options (decimal values of 0.1 possible):

- A score of 1.0 Poor: if the criterion is not adequately addressed or if there are serious inherent weaknesses
- > A score of 2.0 Fair: if the criterion is broadly addressed but reveals significant weaknesses
- > A score of 3.0 Good: if the criterion is well addressed with a number of shortcomings
- A score of 4.0 Very Good: if the criterion is very well addressed with a small number of shortcomings
- A score of 5.0 Excellent: if the criterion is fully and satisfactorily addressed and any identified shortcomings are minor.

Scores will be given to each sub-criterion (see Annex 1). The score of each criterion will be the average of the scores of the sub-criteria. A weight for each criterion will be applied and the weighted scores of the three criteria will be added to yield the total weighted score of the proposal for one evaluator. The final score of the proposal will be the average of the two separate external evaluations. The final score will be rounded up to the third decimal. Only proposals with a final score above 70/100 will be considered admissible for the next stage (interview by the Selection Committee), up to a maximum of 32 candidates to be interviewed.





In case of ex-aequo, additional criteria will be applied, in the following order of priority:

| 1 | Priority will be given to the projects that have scored higher in the excellence criterion, then in |
|---|---|
|   | the impact criterion and then in the implementation criterion.                                      |
| 2 | Priority will be given to candidates from EU Member States and Associated Countries that are        |
|   | below 70% of the EU average (47.8) of the Composite Indicator in Research Excellence <sup>1</sup>   |
| 3 | Priority will be given to proposals where the fellow contributes to maintain the gender balance     |
|   | in the host research group.   |
| 4 | Priority will be given to candidates that had a documented career break of at least 12 months       |
|   | prior to the deadline.  |

#### 7.4. SELECTION COMMITTEE INTERVIEWS

A Selection Committee will be organised that covers all of the <u>five CIVIS hubs</u> (Health; Cities, territories and mobilities; Digital and Technological transformation; Climate, environment and energy; Society, culture, heritage) and the four recruiting universities (AMU, ULB, SUR and UAM). The gender balanced Selection Committee will be composed by 14 members: 1 scientific representative from each of the four recruiting universities; 1 independent international expert per each of the five CIVIS hubs; 2 representatives of the CIVIS3i non-academic partners; 1 external international expert in interdisciplinary and intersectoral research, 1 external international expert in ethics in science and in scientific integrity; and 1 HR representative from AMU, the CIVIS3i coordinator University. The Selection Committee will be headed by a Selection Committee president, appointed by the rotating CIVIS presidency (every 6 months).

The Committee Members will receive a copy of the ranked applications, together with the corresponding reports from the double peer-revision (2 reports per candidate). The Selection Committee will interview the shortlisted candidates, in English, during the one month after the end of the peer-revision period. The 30-min interviews will take place remotely via a secure video connection, technically organised by AMU. Candidates will have 10 min to present their research project, their professional profile and expertise and may use a support (e.g., slides). A 15-min Questions & Answers session will follow with the Selection Committee.

During the interviews, the Selection Committee will assess the soundness of the research proposals, the candidate's capacity to engage in scientific discussion, and their capacity to envision the implementation of the research project. Specifically, the Committee will evaluate the candidates using four criteria (each graded on 5 points):

- > Clarity and consistency of the presentation of their project
- Ability to take part in a scientific discussion and answer comments from the committee on scientific aspects of their project
- Ability to envision the implementation of an interdisciplinary and intersectoral project, to envision the conduct of the project in its environment, and potential ethical aspects
- > Capacity to answer CV-related questions and discuss career options

The candidate's score from the interview will be used as a bonus ranging from 1 to 20 and added to the double peer-revision score. <u>A final ranking of the candidates per host institution will be</u>

1

Research and Innovation Performance in EU Member States and Associated Countries 2014, p. 19)

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<u>established on this basis</u>. Should two proposals have the same final score with the bonus, per host institution, precedence will be given to those having rated better at the double peer-revision stage. The Committee will select 16 candidates. The rejected candidates will be either ranked in a reserve list or rejected if the quality of the applications is deemed insufficient. Candidates will be entitled to initiate a redress procedure as stated by the national law if they contest the recruitment procedure. Eligible candidates will receive an acknowledgement when they pass a selection phase.

The Committee will be able to provide recommendations to the candidates and to their advisors, in the Committee's final evaluation report. Such recommendations will allow the laureates to improve their project and unsuccessful candidates to improve for future applications. The Committee Members will not proceed to a separate evaluation of the proposals nor will they be able to change the ranking of the proposals on their own. All interviewed candidates will receive a report from the Selection Committee after the interviews.

During the selection process and the implementation of the project, the Selection Committee, the postdoctoral advisor and the Project Management Team will engage to prevent any type of discrimination, not only from gender aspects but also taking into consideration age, disabilities, and return from a career break. CIVIS3i will guarantee, throughout the recruitment process of the fellows, that gender equality is respected by promoting genuine equal access opportunities between men and women, without, however, taking precedence over quality and competence criteria. Thus, gender equality will be promoted in CIVIS3i through a gender mainstreaming approach, with the following measures:

- The CIVIS3i Selection Committee will comply with the gender balance recommendation of the EC at the selection level by aiming at welcoming to the highest extent possible and with equal merits a reasonable gender balance between male and female fellows;
- Gender aspects and key reference documents will be included in the Guidelines for Evaluators available on the website;
- CIVIS3i will consider career breaks in the evaluation of the applications. The reasons for career breaks can be due to a variety of personal reasons, including pregnancy, childcare, national service, temporary career change, unemployment, illness and travel. Candidates are therefore encouraged to submit evidence-based CVs, reflecting a representative array of achievements and qualifications appropriate to the position;
- Together with gender issues, the Selection Committee and postdoctoral advisors will be attentive to the protection of equal opportunities at other levels, in order to make sure no discriminations occur because of nationality, age, or disability.

## 8. RESULTS

#### 8.1. COMMUNICATION OF THE EVALUATION OUTCOME

Applicants will be informed on the eligibility of their application upon the call closure, through notifications on the submission platform and automatic emails sent via the online system.

<u>After the First Evaluation Stage</u>, the project management team will establish a <u>ranking list per</u> <u>university</u> and a list of 32 candidates selected for the interview with the Selection Committee: 10 for AMU, 8 for ULB, 6 for SUR and 8 for UAM. Candidates selected for the interview will be informed with a two-weeks' notice. A summary evaluation report with the comments of the double peer-revision will be produced and delivered to the applicants via the online system. Candidates whose





application was below the 70% threshold will be informed as soon as the ranking list is finalised and that not later than January 2023. Above-threshold candidates that are not among the 32 best-ranked applications will be informed that they cannot be funded as soon as the ranking list is finalised and that not later than January 2023.

<u>After the Second Evaluation stage</u>, the project management team will establish a list of laureates based on the final ranking of the candidates and according to the available number of fellowships per University: Aix Marseille University (AMU, France) 5 fellowships, Université libre de Bruxelles (ULB, Belgium) 4, Sapienza Universitat di Roma (SUR, Italy) 3 and Universidad Autónoma de Madrid (UAM, Spain) 4 fellowships. A reserve list may be set per recruiting institution, if the quality of the applications is deemed sufficient.

Candidates that have been interviewed by the Selection Committee will be informed of the outcome of the selection procedure within two weeks of the last interview: either a positive decision, a ranking on the reserve list, or an unsuccessful application. They will receive the final evaluation report including a commentary from the Selection Committee. The host institutions will be notified of the laureates for their university by the project management team. The results will be published on the CIVIS and CIVIS3i websites and communicated via the programme's communication tools.

<u>Successful candidates will be asked to confirm their acceptance of the fellowship within one week</u>. If the notification does not receive a reply, it will be concluded that the applicant is not interested in the fellowship and therefore the next candidate in the reserve list will be awarded. The process will continue until the number of fellowships per University is granted. Candidates will receive a final notification on the status of their application not later than March 2023.

#### 8.2. REDRESS PROCEDURE

After the eligibility check as well as after the end of each of the selection stages (double peerreview and interview), the candidate will have the possibility to appeal via written communication, sent electronically, within two weeks after receiving the notification.

The communication should have as subject "Redress\_CIVIS3i\_Surname\_Firstname", clearly state the reasons for the appeal and <u>should be addressed to the CIVIS3i project manager</u> (see section 11. Contact Information). If the candidate still wishes to contest the decision, the Ombudsman can be contacted (see contacts in the table below). The redress document must be written in English and in the recruiting university's language, i.e., if you appeal to UAM your redress document must be written in English and in Spanish; if you appeal to AMU your redress document must be written in English and in French, etc. The CIVIS3i Project Manager must be copied in the contact with the Ombusdman in order to speed up the redress process and ensure adequate follow-up.

The University Ombudsman, with the support of the research management team of the university, will evaluate in confidentiality the request for appeal and the final decision will be transmitted to the applicant within two months after reception of the appeal. If after two months there was no reply from the Ombudsman, the appeal is considered null and no further action will be taken.

The appeal procedure will not put into question the scientific judgement of the evaluators on the merits of the proposal nor the recommendation of the Selection Committee. The Ombudsman has no competence to contradict the decisions of the juries. The appeal procedure will only examine and confirm whether the administrative procedures during the selection process (in terms of





**procedural shortcomings, omitted conflict of interest, technical errors**) have been followed as described in this Guide for Applicants. Please clearly state those potential <u>administrative</u> issues in your redress document, including page numbers and which reviewer you refer to.

**Redress Contacts Table** - Before contacting the Ombusdman, candidates need to inform the project manager (joana.boavida@univ-amu.fr) about the specific issues they wish to address:

| Unive |                               |   |
|-------|-------------------------------|---|
| rsity | Ombudsman                     | More information                                      |
|       | mediateur@univ-amu.fr +       |   |
| AMU   | joana.boavida@univ-amu.fr     | https://www.univ-amu.fr/en/public/university-mediator |
| ULB   | Rachel.Leproult@ulb.be        | https://www.ulb.be/fr/recevoir-une-reponse/recours    |
| SUR   |                               | https://www.uniroma1.it/en/pagina/student-rights      |
|       |                               | https://bienvenida.uam.es/pdi/en-el-                  |
| UAM   | defensor.universitario@uam.es | campus/defensor-universitario-y-unidad-de-igualdad/   |

## 9. RECRUITMENT

#### 9.1. STARTING DATE & DURATION

Selected projects will start up to six (6) months after the laureate's acceptance of the fellowship. The fellowships will last 24 months. Part-time employment is possible on a case-by-case basis, due to personal or family reasons, and can be requested only during the implementation of the project, in which case the fellow may have up to 48 months to develop the research project. The same conditions apply in those cases as with full employment contracts, with all payments adjusted in proportion to the agreed part-time workload.



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#### 9.2. REMUNERATION

The financial structure of the fellowship is as follows:

| Cost Categories                  |  |                          | Country-corrected Amount (€/month) |                |                   |               |
|----------------------------------|--|--------------------------|------------------------------------|----------------|-------------------|---------------|
|                                  |  | Base Amount<br>(€/month) | France<br>(115.7%)                 | Italy (104.4%) | Belgium<br>(100%) | Spain (95.4%) |
|                                  | Living allowance   | €3 710.00                | €4 292.47                          | €3 873.24      | €3 710.00         | €3 539.34     |
| Fellow-<br>related<br>(employer) | Mobility<br>allowance  | €300.00                  | €300                               | €300           | €300.00           | €300          |
| costs                            | Family<br>allowance (if<br>applicable)   | €250.00                  | €250.00                            | €250.00        | €250.00           | €250.00       |
| Pesegroh                         | Management<br>and<br>communication<br>costs                                    | €752.00                  | €752.00                            | €752.00        | €752.00           | €752.00       |
| Research-<br>related<br>costs    | Research costs<br>(eg, travel,<br>accommodation,<br>publication fees,<br>etc.) | €625.00                  | €625.00                            | €625.00        | €625.00           | €625.00       |

The living allowance is an indicative value for 2021 and is subject to minor changes of approximately +2% due to yearly indexation. The table above includes country correction coefficients for 2020 (for 2021 and 2022 these are not available yet). It covers the amount of an untaxed fellowship contract that is equivalent to an employment contract. The fellowship contract is subject to the provisions of the host country Social Security System covering health insurance, maternity leave, sick pay in case of hospitalisation, disability insurance, national pension system, national unemployment system, insurance against workplace accidents, family benefits and provisions towards occupational diseases. Salaries received by the Fellows are liable for taxes and/or other deductions governed by the country's law (France, Belgium, Italy, Spain). The mobility allowance is tax-free.

The Research-related costs will be attributed to the host Institution for project implementation.

The fellowships will be subject to national employment laws, all of which include provisions on equal status, employment equality, and disability. The four CIVIS3i recruiting universities have obtained the HR "Excellence in Research Award" HRS4R label. The European Charter for Researchers<sup>2</sup> and the Code of Conduct<sup>3</sup> for the recruitment of researchers offer a reference framework for the employment of researchers.

<sup>&</sup>lt;sup>2</sup> https://euraxess.ec.europa.eu/jobs/charter/european-charter

<sup>&</sup>lt;sup>3</sup> https://euraxess.ec.europa.eu/jobs/charter/code





All candidates are entitled to the mobility allowance that is provided as a net amount. The family allowance is provided also as a net amount only to candidates that have family obligations. Family is defined as persons linked to the candidate:

- 1. By marriage or
- 2. By a relationship of a status equivalent to marriage recognised by the legislation of the country or region where this relationship was formalised
- 3. As dependent children who are actually being maintained by the researcher.

The family status will be determined at the deadline of the call and will not be revised during the fellowship.

The CIVIS3i fellowship is designed solely for research and training of the fellow, including management (e.g., organising training events, project governance) and communication costs, meaning that this kind of expenses will not be at the charge of the host institute (e.g., missions, travel, training, laboratory consumables, small equipment, documentation...). However, hosting institutes will have to contribute financially to ensure the proper welcome of the fellow (classic cost of a work environment). The management allowance of the project is not meant to give extra budget to the host institutes. The CIVIS3i management team and financial officers will verify that the fellowship is not misused by the host institutes.

#### 9.3. ADMINISTRATIVE SUPPORT

During the six months after the acceptance of the fellowship, the project management team and the local contact points (LCP) at each recruiting university will contact the fellows to gather all relevant information to prepare the work contract and contractual arrangements between the host universities and intersectoral partner. The LCP will also provide support for the fellows' international mobility, administrative and legal procedures. Recruited fellows will be provided with a comprehensive "Postdoc toolkit" for new recruits containing:

- > Rights and obligations as a member of the CIVIS3i community and H2020 Fellow
- > Key actions to ensure a regular monitoring of the progress during the fellowship
- > Contacts of relevant personnel (names, e-mails, phone numbers)
- > Assistance and tips on moving abroad

The Euraxess and Welcome Desk services for each University contain very useful information for international researchers:

- AMU: <u>https://euraxess.univ-amu.fr/en</u>
- ULB: https://www.euraxess.be/belgium/about-belgium/list-services-centres-local-informationpoints/universit%C3%A9-libre-de-bruxelles
- SUR: <u>https://www.euraxess.it/italy/information-assistance</u>
- UAM: <u>https://www.euraxess.es/spain/spain-network</u>



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## **10. ETHICS, OPEN SCIENCE & RESEARCH DATA MANAGEMENT**

#### **10.1. ETHICS**

Ethics is central to scientific integrity, honesty and clarity of science. This means that in any application submitted to the Horizon 2020 programme, including CIVIS3i Postdoctoral Programme, ethics issues must be identified and addressed. Compliance with the relevant ethics provisions is essential from the beginning to the end of the project and is an integral part of research funded by the European Union within Horizon 2020.

Applicants submitting research proposals for funding for CIVIS3 postdoctoral fellowships should demonstrate proactively in their proposal that they are aware of, and will comply with, ethical principles and applicable International, European and national law. As part of their application file, candidates are required to fill the H2020 Ethics Issues questions and if one or more ethical issues are flagged, they will be required to indicate what are the ethical implications of their project and explain how the issues will be address (see Annex 2 with the Ethics questions). The goal is to assess the ethical aspects of the research objectives, methodology and potential impact. Both these phases will be achieved in collaboration with their future advisor. The Ethics experts will notably identify the projects that require ethical approval at the national level (e.g., with regards to data protection, the conduct of clinical trials and animal welfare). The Ethics Review Procedure will focus on the compliance with ethical rules and standards, relevant European legislation, international conventions and declarations, national authorizations and ethics approvals, proportionality of the research methods and the selected fellows' awareness of the ethical aspects and social impact of their planned research. The ethics self-assessment and the explanations therein will not count towards the limit of 10 pages of the core proposal, the ethics self-assessment will be done via a form on the online submission system. Evaluators will be accordingly briefed to be able to assess if ethical concerns have been properly addressed.

Key sources of EU and international law are the Charter of Fundamental Rights of the European Union and the European Convention on Human Rights and its Supplementary Protocols. Another important source is the UN Convention on the Rights of Persons with Disabilities (UN CRPD).

Main ethical principles:

- Respecting human dignity and integrity
- Ensuring honesty and transparency towards research subjects and notably getting free and informed consent (as well as assent whenever relevant)
- Protecting vulnerable persons
- Ensuring privacy and confidentiality
- Promoting justice and inclusiveness
- Minimising harm and maximising benefit
- Sharing the benefits with disadvantaged populations, especially if the research is being carried out in developing countries
- Maximising animal welfare, in particular by ensuring replacement, reduction and refinement ('3Rs') in animal research
- Respecting and protecting the environment and future generations





Please be aware that it is the applicants' responsibility to identify any potential ethical issues, to handle the ethical aspects of the proposal and to detail how these aspects will be addressed. The appropriateness of the measures proposed will be assessed by ethics experts during the ethics review, which is a part of the overall evaluation procedure.

Compliance with the ethical principles and legislation is ensured by the H2020 ethics appraisal scheme (i.e. the H2020 policy on ethics issues in research), which includes all of the following:

- ethics self-assessment (done by the applicants, in their proposal)
- two-stage ethics review, with an ethics screening and, if necessary, an ethics assessment (during the evaluation procedure)
- if necessary, ethics checks, reviews and audits (during the implementation of the project and up to two years afterwards).

All applicants are required to complete an **Ethics Issues Form** in the online application platform (see questions in Annex 2). If candidates reply YES to any question on the Ethics Issues Form, they will be required to answer an **Ethics Self-Assessment**, which asks candidates to "describe how the project meets the EU relevant legislation on Ethics and the National legislation and good practices on research ethics". For more details, please refer to the H2020 "<u>How to complete your Ethics Self-Assessment</u>" guide.

Institutional ethics clearance (if relevant) will not be required at submission stage. For successful proposals only, ethics approval will be necessary prior to the beginning of research activities that are subject to approval. The fellows will then receive guidance by the Department of Research Administration on the procedures to obtain institutional ethics approval and their projects will be monitored to ensure that the ethics obligations deriving from the approval are respected.

#### **10.2. OPEN SCIENCE & RESEARCH DATA MANAGEMENT**

Open Science englobes the general practice of providing end-users free of charge access to scientific information (peer-reviewed publications and research data). CIVIS3i is strongly committed to the Open Science policy and FAIR Data Management principles (Findable, Accessible, Interoperable, Reusable). CIVIS3i, being co-funded by the H2020 Framework Programme, abides to this principle and is strongly encouraging open access to both scientific publications and research data.

For further documentation, candidates are invited to consult the official EU <u>Open Science</u> <u>guidelines</u>.

Successful candidates will be requested to provide a Data Management Plan (DMP) within 6 months <u>after</u> the start of their project. The DMP includes information on how research data are produced, in which form, how they are stored, backed-up and archived, who has access to them, if they are subject to any legal and ethical constraints, etc. The fellows will be supported in this procedure by the Department of Research Administration of the recruiting university. The Data Management Plan is not required at submission stage.





## **11. CONTACT INFORMATION**

For any questions related to CIVIS3i, please contact the CIVIS3i project manager

Dr. Joana Boavida, joana.boavida(at)univ-amu.fr

Direction de la Recherche et de la Valorisation - DRV Aix-Marseille Université 63 La Canebière 13001 Marseille, France

Privileged language for communication will be English, but support can be provided in French, Portuguese, Italian and Spanish on request. This support will be preferably given through email exchange. In general, a policy of "answer within five (5) working days" will be applied in the framework of CIVIS3i. When a call is open the deadline for an answer by e-mail will be reduced to a maximum of two (2) working days, except during the first two weeks of August, during which the Aix-Marseille University is closed for mandatory summer break.

For questions specifically addressed at the other CIVIS3i recruiting universities, please contact the Local Contact Points:

ULB - Université libre de Bruxelles, EU liaison office: ulb-europe(at)ulb.be UAM - Universidad Autónoma de Madrid, Rafaella Lenoir: rafaella.lenoir(at)uam.es SUR - Sapienza Universitát de Roma, Rosa DiStefano, rosa.distefano(at)uniroma1.it

## **12. PERSONAL DATA PROTECTION**

Aix Marseille Université (AMU) – 13007 Marseille, 58 Boulevard Charles Livon, France – is the Data Controller of the personal data collected in the context of applications to CIVIS3i. In this capacity, AMU respects the provisions of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27/04/2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation - GDPR).

The data collected by AMU through the submission platform is solely processed for the purposes of evaluating the applications of research projects against the evaluation criteria and selecting projects in view of a contractual agreement between the CIVIS3i partner universities (AMU, SUR, ULB and UAM) and the candidate. Personal data collected includes the first name, surname, nationality, e-mail address, postal address and family situation, as well as the data provided in the CV.

Applications will only be valid if the aforementioned personal data is provided. By applying, candidates agree with the processing of this personal data as part of their application.

AMU commits to taking the appropriate measures to guarantee its confidential treatment. It is stored on password-protected servers for maximum six months after the end of the call CIVIS3i for nonselected applicants. AMU's staff has access to this data only to the extent necessary for the execution of its corresponding tasks (e.g., submission of an application, evaluation of an application, recruitment of a candidate, conduct of the research project). Besides internal AMU services, the data is transmitted to external evaluators under confidentiality clauses, as part of the evaluation





process. This transmission makes use of third-party services (web services provider), located in France and Canada, who are contractually obliged to ensure the same level of protection for the personal data as AMU.

All necessary information on AMU's Personal Data Protection policy is available at https://www.univamu.fr/en/public/ethics-committee. Applicants can address their queries on the treatment of their Personal Data to our Data Protection Officer (DPO).

DPO: Professor Hervé Isar

e-mail: herve.isar(at)univ-amu.fr

This address can also be used, upon justification of identity, to exercise the rights to request access to and rectification or deletion of the personal data or, under conditions, restriction of processing, the right to object to processing as well as the right to data portability. For any complaints, applicants are free to contact the French Data Protection Authority (CNIL, "Commission Nationale de l'Informatique et des Libertés"). AMU would appreciate the opportunity to answer to the complaint first, before it is addressed to the CNIL.



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## **13. ANNEX 1 – EVALUATION FORM**

| CRITERION  | SCORE       |
|--|-------------|
|  | 1 (poor) -  |
|  | 5           |
| EXCELLENCE (weight: 50%)   | (excellent) |
| Quality, level of novelty of the research project; Relevance of the project ambition;                        |             |
| Appropriate consideration of interdisciplinary aspects (if relevant);  |             |
| Appropriate consideration of gender aspects (if relevant);   |             |
| Relevance to the CIVIS3i themes  |             |
| Evaluation (sufficiently detailed comments):   |             |
| Quality and appropriateness of the two-way transfer of knowledge between the                                 |             |
| researcher and the hosting group;  |             |
| Potential of the intersectoral collaboration with non-academic partners (if relevant)                        |             |
| Evaluation (sufficiently detailed comments):   | -           |
| Quality of the researcher and capacity to reach or reinforce professional                                    |             |
| independence   |             |
| Evaluation (sufficiently detailed comments):   |             |
| IMPACT (weight: 30%)   |             |
| Enhancing the future career prospects of the researcher  |             |
| Evaluation (sufficiently detailed comments):   |             |
| Quality of the proposed communication <sup>4</sup> activities to different target audiences                  |             |
| Evaluation (sufficiently detailed comments):   |             |
| Quality of the strategy for the dissemination <sup>5</sup> and exploitation of project results & activities; |             |
| Capacity to engage society (e.g., involvement of citizens)   |             |
| Evaluation (sufficiently detailed comments):   |             |
| IMPLEMENTATION (weight: 20%)   |             |
| Coherence, feasibility and effectiveness of the work plan  |             |
| Evaluation (sufficiently detailed comments):   |             |
| Appropriateness of the management structure and procedures, including risk                                   |             |
| management   |             |
| Evaluation (sufficiently detailed comments):   |             |
| Overall evaluation (sufficiently detailed comments):   |             |
| Ethics evaluation (required)   | 1           |
| Based on the EU Ethics guidelines, is this research raising any ethical issues? If yes, is                   |             |
| the candidate addressing them appropriately?   |             |
|  |             |

<sup>&</sup>lt;sup>4</sup> The communication activities of Horizon 2020 projects go beyond dissemination: they do not involve project results only but also the project in general such as the societal challenges or European added-value of the project. Thus, communication activities target a much wider audience, including the media and the general public. It is important to use a less technical language so that a non-specialist audience can easily understand the goals and means of the project.

<sup>&</sup>lt;sup>5</sup> Dissemination aims at maximising the impact of research results in the public domain. Therefore, the target audience of dissemination activities is any potential user of the project results: the scientific community, stakeholders, industry, policy makers, investors, civil society, etc.





## **14. ANNEX 2 – ETHICS ISSUES QUESTIONS**

Research areas excluded from funding under Horizon 2020:

- Research activities aiming at human cloning for reproductive purposes;
- Research activities intended to modify the genetic heritage of human beings which could make such changes heritable (apart from research relating to cancer treatment of the gonads, which may be financed);
- Research activities intended to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer;
- Research that leads to the destruction of human embryos.

| RESEARCH ON HUMAN EMBRYO/FOETUS  | YES |
|--|-----|
| Does your research involve human embryos?  |     |
| Does your research involve human foetal tissue/cells?  |     |
| Does your research involve human embryonic stem cells?   |     |
| Does your research involve human embryonic stem cells in culture?                                      |     |
| Does the proposed research on human embryonic stem cells involve the derivation of cells from embryos? |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL                                    |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:



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| RESEARCH ON HUMANS  | YES |
|---|-----|
| Does your research involve human participants?                      |     |
|   |     |
|   |     |
| Does your research involve children or minors?                      |     |
|   |     |
|   |     |
| Does your research involve patients?                                |     |
|   |     |
| Does your research involve adult healthy volunteers?                |     |
|   |     |
|   |     |
| Does the proposed research on human genetic material?               |     |
|   |     |
|   |     |
| Does the proposed research on human biological samples?             |     |
|   |     |
| Deep the proposed research on human data collection?                |     |
| Does the proposed research on human data collection?                |     |
|   |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL |     |
|   |     |
|   |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:

| PRIVACY   | YES |
|---|-----|
| Does your research involve processing of genetic information or personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? |     |
| Does your research involve tracking the location or observation of people?  |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL   |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:



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| RESEARCH ON ANIMALS   | YES |
|---|-----|
| Does your research involve animals?                                 |     |
| Are those animals transgenic small laboratory animals?              |     |
| Are those animals transgenic farm animals?                          |     |
| Are those animals non-human primates?                               |     |
| Are those animals cloned farm animals?                              |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:

| RESEARCH INVOLVING NON-EU COUNTRIES (ICPC COUNTRIES)  | YES |
|---|-----|
| Will the proposed research (or parts of it) be conducted in one or more of the<br>International co-operation partner countries (ICPC)?  |     |
| Is any material used in the research (e.g. personal data, animal and/or human tissue samples, genetic material, live animals etc.) collected in any of the ICPC countries?                              |     |
| Is any material used in the research (e.g. personal data, animal and/or human tissue samples, genetic material, live animals etc.) exported to any other country (including ICPC and EU member states)? |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL   |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:



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| DUAL USE   | YES |
|--|-----|
| Will the proposed research have direct military use?                       |     |
| Will the proposed research have the potential for terrorist abuse?         |     |
| Does your research show focus on application other than exclusively civil? |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL        |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:

| OTHER ISSUES   | YES |
|--|-----|
| Does your research involve any activities that could harm the environment, health or safety? |     |
| Does your research have the potential for misuse of research results?                        |     |
| Does your research have any other ethics issues?   |     |
| I CONFIRM THAT <b>NONE</b> OF THE ABOVE ISSUES APPLY TO MY PROPOSAL                          |     |

If you answered yes to any of the ethics issues above, please indicate the page number where the issue is mentioned and explain here how you will address it:

For further documentation on ethics, please visit the EU Ethics guidelines.

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## **15. ANNEX 3 – CV instructions**

The CV is intrinsic to the evaluation of the whole proposal and is assessed throughout the three evaluation criteria by the expert evaluators. There is no mandatory format, however applicants may choose to use the Europass format for which there is a <u>template</u> at the CIVIS3i website (Call Information > Guide for Applicants). Ensure that the information provided consistent. Mention full dates (dd/mm/yyyy). The CV should include the standard academic and research record. The length of the CV must be 5 pages. Any research career gaps and/or unconventional paths should be clearly explained so that this can be fairly assessed by the independent evaluators. At a minimum, the CV should contain:

- a) the name of the researcher
- b) professional experience (in reverse chronological order, using exact dates)
- c) education (in reverse chronological order, using exact dates). It is good practice to include the PhD supervisor(s) name(s)

The CV should also include information on:

- 1. The full list of publications in peer-reviewed scientific journals, peer-reviewed conference proceedings and/or monographs of their respective research fields.
- 2. Granted patent(s).
- 3. Research monographs, chapters in collective volumes and any translations thereof.
- 4. Invited presentations to internationally established conferences and/or international advanced schools.
- 5. Research expeditions led by the experienced researcher.
- 6. Organisation of international conferences in your field(s) of research, including membership in the steering and/or programme committee.
- 7. Examples of participation in industrial innovation.
- 8. Prizes and Awards.
- 9. Funding received so far.
- 10. Supervising and mentoring activities.

In addition, **researchers without a doctorate at the call deadline** must clearly explain how the fulltime equivalent research experience is calculated, adding the table below (template available on the CIVIS3i website). This information and documents (e.g., work contracts) **do not count to the 5page limit**.

Research Experience is a period of activity in research proven by e.g., a work contract, a scholarship, a study certificate. Full-Time Equivalent Research Experience is measured from the date when a researcher obtained the degree entitling him/her to embark on a doctorate (either in the country in which the degree was obtained or in the country in which the researcher is recruited), even if a doctorate was never started or envisaged.

**Table of Full-time equivalent research experience (see section 2. Eligibility, page 7 above) –** Please do not indicate periods before the University degree giving access to PhD or after the call deadline. In case of overlapping periods when several activities are carried out in parallel, applicants should only indicate a cumulative percentage up to 100% (e.g., 50% Doctorate + 50 % research assistant). Add as many entries as needed. This table is beyond the 5-page limit for the CV. Cells In grey filling are to be filled by the candidate.